Application of a momentum-imbalance method for investigating numerical accuracy in swirling flow

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1 Abstract

This work derives and applies a method for estimating numerical accuracy in Computational Fluid Dynamics. The method is used to investigate discretization errors in swirling flow in water turbines. The work focuses on the conservation of a sub-set of the angular momentum equations that is particularly important to swirling flow in water turbines. The method is based on the fact that the discretized angular momentum equations are not necessarily conserved when the discretized linear momentum equations are solved. The present method could however be used to investigate the effect of discretization on any equation that should be conserved in the correct solution, and the application is not limited to water turbines. The fundamental idea of the method is to investigate the conservation of important equations that are not accounted for in the solution process. When all important aspects of the flow are conserved the computational results can be considered correct. The method can also be used to investigate the iterative convergence of the solved equations, which reflects the iterative convergence limit of the computations.

Computations of two Kaplan water turbine runners and a simplified geometry of one of the Kaplan runner ducts are investigated to highlight the general and simple applicability of the method.

2 Background

The use of Computational Fluid Dynamics (CFD) in industry has increased dramatically during the last decades. Today it is used in many fields as a complement to model testing. The industrial computational results are usually claimed to be qualitatively correct, i.e. they can be used to identify trends but not to get the quantitatively correct numbers. There are several reasons that the industrial computational results are not quantitatively correct. First of all the physics of the applications and the boundary conditions are approximated using more or less sophisticated methods. Secondly the resulting approximated physical application is computed using a numerical method with further approximations and computational limitations.

When CFD is applied to turbulent flow in complex geometries it is often difficult to obtain an iteratively converged solution, i.e. a solution that satisfies the discretized equations. The reason to this is that the preferred higher order discretization schemes are highly unstable if the computational grid has very skew and flat control volumes. One way of dealing with this is to use stable discretization schemes. A number of more or less stable discretization schemes are available in the literature. Depending on the complexity of the flow and the geometry, a discretization scheme that gives an iteratively converged solution usually has an observed discretization order between first and second order. Figure 1 shows the computed flow above



Figure 1: Circumferentially averaged velocity coefficients above and below a Kaplan runner (Kaplan 1). Solid lines: tangential velocity; dashed lines: axial velocity. Markers: \triangle : hybrid; \bigcirc : Van Leer. The velocities are normalized by the runner radius and the runner angular velocity.

and below a Kaplan runner using the first order hybrid scheme and the second order Van Leer scheme. The influence of the discretization scheme is striking. The reduced order of accuracy of the stable discretization schemes introduces discretization errors that must be investigated before the computational results can be relied on.

To study the accuracy of the numerical method one can assume that the physics of the application and the boundary conditions are approximated correctly. The accuracy of a computation then depends on the resolution of the discretized problem. A sufficiently fine resolution will thus give the correct solution to the approximated problem if the iterative convergence and round-off errors are small. Such fine resolutions can however not be used in industrial applications because of restrictions on computational power and time limitations. The resolutions that are used in industrial applications are usually not even close to a sufficiently fine resolution. This has lead to an increased interest in methods for studying the level of accuracy in CFD results [10, 14] and many scientific journals have adopted statement policies about this subject [9, 23].

One general approach to study the accuracy of CFD results is by looking at the sensitivity to grid refinement using the Richardson extrapolation concept [3, 4, 26]. The Richardson method uses the results from three grids of different refinement to estimate the grid convergence error. If h is a geometric discretization parameter representative of the grid spacing of the finest grid ($h_1 = h$) the subsequent grids are coarsened according to $h_2 = rh$ and $h_3 = r^2h$. The refinement parameter can for instance be chosen to r = 2. The main requirement of the

Richardson method is that the solutions at all the grids must be in the asymptotic range. This requirement can be met for some cases but for general 3D industrial computations, where it is difficult to get even the finest solution in the asymptotic range, the method cannot be used to the full extent [1,2]. Another major drawback with the method is that time constraints do not allow computations on several grids in industry. The original Richardson paper [25] examined the difference between a low-order solution and a high-order solution on the same grid. This requires computations of two solutions, which is time consuming, and that a high-order computation can be obtained, which is not always the case in industrial CFD. A fast and simple method that investigates the discretization error of a single solution on a single (coarse) grid is therefore needed.

The present work uses an approach that investigates the conservation of quantities that are not automatically conserved in the solution procedure. The method uses a single computational result from a single grid to investigate the accuracy of the computational result. When **all** aspects of the flow are conserved the computational results are exact [26] and the computational results are thus grid independent. Most finite volume CFD codes use conservation of mass and linear momentum to compute the flow. Hence, imbalances in angular momentum, kinetic energy and higher moments can be expected to reflect numerical accuracy [5, 8]. The CFD codes could be re-written to conserve other than mass and linear momentum, but in any numerical approach there will be non-conserved quantities to serve as candidates for numerical accuracy assessment. Since all aspects of the flow cannot be investigated one have to choose quantities that are particularly important to the flow. For each industrial application a set of important quantities can be specified. The method described in this work can be used to estimate the accuracy with respect to those quantities. Angular momentum is such an important quantity in swirling flow in water turbines.

3 Derivation of the angular momentum balance

The derivation of the angular momentum balance starts with the Reynolds transport theorem for an arbitrarily moving deformable control volume [24, 29]

$$\frac{dB_{syst}}{dt} = \frac{d}{dt} \left(\int_{CV} \beta \rho dV \right) + \int_{CS} \beta \rho (\mathbf{U}_{\mathbf{r}} \cdot \mathbf{n}) dA$$

where B is a property of the fluid, $\beta = dB/dm$ is the *intensive* value or B per unit mass, and dB_{syst}/dt is the rate of change of B of a system (material region) confined in a control volume that instantaneously comprises the system. This expression is thus a conversion formula between a system and a control volume that instantaneously occupies the same space and, in other words, is a coupling between the Lagrangian and Eulerian descriptions. The velocity relative to that of the control volume surface is $\mathbf{U_r} = \mathbf{U}(\mathbf{r}, t) - \mathbf{U}_s(\mathbf{r}, t)$, where $\mathbf{U}(\mathbf{r}, t)$ is the fluid velocity, and $\mathbf{U}_s(\mathbf{r}, t)$ is the control volume surface velocity. The Reynolds transport theorem can be used to write all the basic laws in control volume form. It can thus be used to derive the mass balance (B = m, $\beta = dm/dm = 1$), the linear momentum balance (Navier Stokes, $\mathbf{B} = m\mathbf{U}$, $\beta = dm\mathbf{U}/dm = \mathbf{U}$), the energy balance (B = E, $\beta = dE/dm = e$), and the angular momentum balance ($\mathbf{B}=\mathbf{H}_o = \int_{syst} (\mathbf{r} \times \mathbf{U}) dm$, $\beta = d\mathbf{H}_o/dm = \mathbf{r} \times \mathbf{U}$).

The angular momentum balance for an arbitrarily moving deformable control volume is

$$\left. \frac{d\mathbf{H}_o}{dt} \right|_{syst} = \frac{d}{dt} \left(\int_{CV} (\mathbf{r} \times \mathbf{U}) \rho dV \right)$$

$$+\int_{CS} (\mathbf{r} \times \mathbf{U}) \rho(\mathbf{U}_{\mathbf{r}} \cdot \mathbf{n}) dA$$
(1)

According to the laws of mechanics, the rate of change of the angular momentum of the system is equal to the sum of all the moments about an arbitrary point o acting on a control volume that instantaneously comprise the system, yielding an expression for the left hand side of Eq. (1) as [13]

$$\frac{d\mathbf{H}_{o}}{dt}\Big|_{syst} = \int_{CS} \mathbf{r} \times \mathbf{F}_{s} dA + \int_{CV} \mathbf{r} \times \mathbf{F}_{b} \rho dV - \int_{CV} (\mathbf{r} \times \mathbf{a}) \rho dV$$
(2)

where \mathbf{F}_s is the surface force (both viscous, turbulent shear and normal forces) per unit area acting on the control volume surface, and \mathbf{F}_b is the body force per unit mass acting inside the control volume. The vector **a** is the acceleration of the coordinate system [29]

$$\mathbf{a} = \frac{d^2 \mathbf{R}}{dt^2} + \frac{d\mathbf{\Omega}}{dt} \times \mathbf{r} + 2\mathbf{\Omega} \times \mathbf{U} + \mathbf{\Omega} \times (\mathbf{\Omega} \times \mathbf{r})$$
(3)

where **R** is the position vector of the origin of the non-inertial coordinate system relative to an inertial coordinate system, **r** is the position vector relative to the non-inertial coordinate system, and Ω is the angular velocity of the non-inertial coordinate system. The terms correspond to system acceleration, system angular acceleration, Coriolis acceleration and centripetal acceleration.

If the control volume is non-deformable and the flow is steady the time derivative of the volume integral in Eq. (1) vanishes. Further, if the control volume is rotating at a constant Ω about a stationary origin, the angular momentum balance (Eqs. (1) - (3)) reads

$$\int_{CS} \mathbf{r} \times \mathbf{F}_s dA + \int_{CV} \mathbf{r} \times \mathbf{F}_b \rho dV$$

$$- \int_{CV} \mathbf{r} \times (2\mathbf{\Omega} \times \mathbf{U}) \rho dV$$

$$- \int_{CV} \mathbf{r} \times (\mathbf{\Omega} \times (\mathbf{\Omega} \times \mathbf{r})) \rho dV$$

$$= \int_{CS} (\mathbf{r} \times \mathbf{U}) \rho (\mathbf{U} \cdot \mathbf{n}) dA \qquad (4)$$

This is an extremely complicated relation that contains all the features of the linear momentum balance. In addition, it should be recalled that it was derived from the change in angular momentum about the point o that has not yet been specified. Relation 4 is obviously valid for all possible choices of o!

If the position vector \mathbf{r} is constant over the volume of integration, the angular momentum and linear momentum balances are equivalent in continuum mechanics [24] and the angular momentum balance can be derived from the vector product of \mathbf{r} and the linear momentum balance. However, since the computational control volumes are not infinitesimal, the discretized angular momentum balance is not necessarily satisfied simply because the discretized linear momentum balance is satisfied. It is thus up to the discretization scheme to conserve both angular and linear momentum.

3.1 Angular momentum balance in turbomachinery

In turbomachinery, the axial component of the angular momentum balance about the axis of rotation transfers torque to the rotating shaft. Assuming that $\Omega = \Omega \mathbf{e}_z$ (\mathbf{e}_z is the unit vector in the *z* direction) is aligned with the shaft, the axial component of the angular momentum balance (Eq. (4)) about the axis of rotation reads

$$\int_{CS} rF_{s\theta} dA + \int_{CV} rF_{b\theta} dV + \int_{CV} 2r\Omega U_r \rho dV$$
$$= \int_{CS} rU_{\theta} \rho(\mathbf{U} \cdot \mathbf{n}) dA$$
(5)

where r is the cylindrical radial direction and θ is the tangential direction. The term involving Ω originates from the Coriolis term of the angular momentum balance. There is, however, no effect of the centripetal term since the coordinate system rotation vector, Ω , is aligned with the axial component of the balance. Further, if gravity as in the present work is the only body force, $\int_{CV} rF_{b\theta} dV = 0$. Equation 5 is the central equation of the present work.

Equation 5 can be further reduced for simple estimates of the flow in turbomachines. This is done in the rest of this section. When applied to a thin stationary axi-symmetric stream tube $(r \approx const$ at inlet and outlet) with uniform inlet (index 1) and outlet (index 2) velocities and negligible surface forces, it is reduced to

$$\int_{CV} rF_{b\theta}dV = \Delta \dot{m} \left(r_2 U_{\theta 2} - r_1 U_{\theta 1} \right)$$

where $\Delta \dot{m}$ is the mass flow through the stream tube. If $F_{b\theta}$ includes all the tangential body forces from the blades in a turbomachine, we get the power balance for the stream tube [13]

$$-\Delta P_{shaft} = \Delta \dot{m} \,\Omega \left(r_2 U_{\theta 2} - r_1 U_{\theta 1} \right) \tag{6}$$

This is the general Euler equation [13] for turbomachinery relating the input shaft power to the change in angular momentum for a thin axisymmetric stream tube, which highlights the importance of the angular momentum balance in this kind of flow.

Equation 6 can be used to verify the numerical results to some extent. One can assume that the thicknesses of the stream tubes through the domain is proportional to the channel width, and that the mass flow through all the stream tubes is the same [11]. These are not accurate assumptions, which is one of the reasons that this simplified method is not complete. Further, the shaft power in each stream tube is difficult to obtain, and thus the general Euler equation is not easily applicable in the region where the runner blades are located. However, the distribution of rU_{θ} should be approximately conserved in each stream tube in regions where there are no runner blades ($\Delta P_{shaft} = 0$). Figure 2 shows the angular momentum distribution of the circumferentially averaged flow at the inlet and a section above the runner of a Kaplan runner (the Kaplan 1 runner described later) for both the first-order hybrid and the second-order Van Leer discretization schemes (using the tangential velocities in Fig. 1(a)). It is obvious that the hybrid computation does not satisfy the general Euler equation while the Van Leer computation works well. The Van Leer computations were carried out and analysed by Nilsson and Davidson [20] (the k15 case), where detailed information on the analysis in Fig. 2 can be found.



Figure 2: Angular momentum distributions at the inlet and a section before the runner of a Kaplan runner (Kaplan 1). The distribution at the inlet should be approximately conserved at the section before the runner in a correct solution. \Box : inlet distribution; \triangle : hybrid, before the runner; \bigcirc : Van Leer, before the runner. The angular momentum is normalized by the runner radius and the runner angular velocity.

4 Implementation of the angular momentum balance method

The fundamental idea is to compute the flux of angular momentum (Eq. (5)) through all computational control volume faces using exactly the same discretization scheme as was used for the linear momentum in the Navier-Stokes solver. These fluxes are then used to compute control volume based balances by summing up the flux into the control volume and generation inside the control volume. The control volume investigated can be the computational control volumes or a control volume that comprises several of the computational control volumes. When making a balance over several computational control volumes a summation of the balances over the computational control volume. The result is normalized by the flux into the computational domain. It is very important that this implementation is made correctly since small errors in computing the balance make it impossible to investigate the balance error.

The angular momentum balance method can be applied for instance to through-flow investigations [27]. The balance between two cross-flow planes (axi-symmetric in turbomachine runners) yields the angular momentum error between those planes. Since the fluxes between two adjacent control volumes cancel upon summation, the sum of the balances over several cross-flow planes yields the error between the first and the last cross-flow plane. Placing the first cross-flow plane at the inlet and moving the second cross-flow plane from the inlet to outlet (from plane 1 to plane 26 in Fig. 3(a)) yields a cumulative sum of the local angular momentum errors along the flow path.

A general method for making the summation of the balances over a subdomain of the computational domain is to save the computational control volume balances as an element based (constant in each computational control volume) error density, i.e. the balances divided by the volume of the computational control volume. Using a post-processing tool such as Ensight, the sum over any subdomain can be derived by an element based volume integral of the error density over the subdomain. There is then no need for explicit grid planes at the cross-flow surfaces and they can cut arbitrarily through the geometry (see Fig. 3(b,c)). The only requirements on the post-processing tool are that it can cut out arbitrary parts of the computational domain and compute the volumes of the computational control volumes correctly. The element based volume integral is then obtained by multiplying by the local balance, which is constant in each computational control volume. The overall balance and volume of the computational domain



(a) The simplified geometry with numbered axi-symmetric cross-flow grid surfaces corresponding to the coarse grid.

(b) The meridional contour of the Kaplan 1 runner (thick lines). The dashed lines show the computational domain.



(c) The meridional contour of the Kaplan 2 runner (thick lines). The dashed lines show the computational domain.

Figure 3: Definitions of the cumulative sums of the angular momentum balance through the domains. The cumulative sum is taken from the inlet to each numbered axi-symmetric cross-flow grid surface for the simplified case and to each numbered axi-symmetric cross-flow control surface (represented by thin lines) for the Kaplan cases.

were conserved in the analysis by Ensight, which shows that no significant errors are introduced in this operation.

The local error distribution itself can also be investigated by using iso-surfaces of the errors to identify where the errors are greatest, which indicates where some extra discretization efforts must be made.

The method can of course also be applied to the conservation of mass and linear momentum. The information obtained from the angular momentum balance can however not be obtained from the mass or linear momentum balances since the finite volume formulation conserves mass and linear momentum when the residuals are small.

5 The computational method

The main features of the CALC-PMB finite volume CFD code are its use of conformal block structured boundary fitted coordinates, a pressure correction scheme (SIMPLEC [7]), cartesian velocity components as the principal unknowns, and a collocated grid arrangement together with Rhie and Chow interpolation. The computational blocks are solved in parallel with Dirichlet-Dirichlet coupling using PVM (Parallel Virtual Machine) or MPI (Message Passing Interface). The parallel efficiency is excellent, with super scalar speedup for load balanced applications [17]. The ICEM CFD/CAE grid generator is used for grid generation and Ensight and Matlab are used for post-processing.

Coriolis and centripetal effects are included in the momentum equations when the computational domain is rotating, but the low-Reynolds $k - \omega$ turbulence model of Wilcox [30], which can be integrated all the way to the wall, is used without terms for rotational effects. This is common in turbomachinery computations for reasons of numerical stability and the small impact of such terms in these kinds of industrial applications.

This work investigates the computational results obtained using two different discretization schemes, the hybrid scheme and the Van Leer scheme. Equations and discretization schemes are described in the following sections.

5.1 Equations

The steady Reynolds time-averaged continuity and Navier Stokes equations for incompressible flow in a rotating frame of reference read [6, 12]

$$\frac{\partial \rho U_i}{\partial x_i} = 0$$

$$\frac{\partial \rho U_i U_j}{\partial x_j} = - \frac{\partial P}{\partial x_i} + \frac{\partial}{\partial x_j} \left((\mu + \mu_t) \frac{\partial U_i}{\partial x_j} \right) + \rho g_i$$
$$- \rho \epsilon_{ijk} \epsilon_{klm} \Omega_j \Omega_l x_m - 2\rho \epsilon_{ijk} \Omega_j U_k$$

where $-\epsilon_{ijk}\epsilon_{klm}\Omega_j\Omega_l x_m$ is the centripetal term and $-2\epsilon_{ijk}\Omega_jU_k$ is the Coriolis term, owing to the rotating coordinate system. Because of the potential nature of the pressure, gravitational and centripetal terms [12], they are put together during the computations in what is often referred to as a *reduced* pressure gradient

$$-\frac{\partial P^*}{\partial x_i} = -\frac{\partial P}{\partial x_i} + \rho g_i - \rho \epsilon_{ijk} \epsilon_{klm} \Omega_j \Omega_l x_m$$

Thus, a relation for the *reduced* pressure is

$$P^* = P - \rho g_i x_i + \rho \epsilon_{ijk} \epsilon_{klm} \Omega_j \Omega_l x_m x_i$$

In post-processing, the variation of the gravity term is assumed to be negligible and the centripetal term is simply subtracted from the *reduced* pressure.

The $k - \omega$ model of Wilcox [30] for the turbulent kinetic energy, k, and the specific dissipation rate, ω , reads

$$\frac{\partial \rho U_j k}{\partial x_j} = \frac{\partial}{\partial x_j} \left[\left(\mu + \frac{\mu_t}{\sigma_k} \right) \frac{\partial k}{\partial x_j} \right] \\ + P_k - \rho \beta^* \omega k \\ \frac{\partial \rho U_j \omega}{\partial x_j} = \frac{\partial}{\partial x_j} \left[\left(\mu + \frac{\mu_t}{\sigma_\omega} \right) \frac{\partial \omega}{\partial x_j} \right] \\ + \frac{\omega}{k} \left(c_{\omega 1} P_k - c_{\omega 2} \rho k \omega \right)$$

where the turbulent viscosity, μ_t , is defined as

$$\mu_t = \rho \frac{k}{\omega}$$

The production term reads

$$P_k = \mu_t \left(\frac{\partial U_i}{\partial x_j} + \frac{\partial U_j}{\partial x_i} \right) \frac{\partial U_i}{\partial x_j}$$

and the closure coefficients are given by

$$\beta^{\star} = 0.09, c_{\omega 1} = \frac{5}{9}, c_{\omega 2} = \frac{3}{40}, \sigma_k = 2 \text{ and } \sigma_{\omega} = 2$$

A no-slip wall boundary condition is applied for the velocities and k = 0 at the walls. The specific dissipation at the first node normal to the wall (at $y^+ < 2.5$) is set to $\omega = 6\nu/(C_{\omega 2}n^2)$, where *n* denotes the normal distance to the wall. For the pressure, $\partial^2 P/\partial n^2 = 0$ at all boundaries. Dirichlet boundary conditions are applied at the inlet and Neumann boundary conditions are applied at the outlet for the velocity components and for the turbulent quantities.

5.2 Discretization schemes

To solve the discretized linear momentum equations, the fluxes through the faces of the computational control volumes must be known. Since all variables are calculated at the nodes, some kind of interpolation must be used to get the fluxes through the computational control volume faces. A number of ways of doing this are described in the literature. This work studies the numerical solutions obtained when using the hybrid and the Van Leer [28] discretization schemes. Both discretization schemes are bounded and use upwinding for the convective terms. They are briefly described in the following sections.

5.2.1 The hybrid differencing scheme

The hybrid scheme is a combination of the central and the first-order upwind differencing schemes. It uses central differencing if the magnitude of the Peclet number is below two and first-order upwind differencing otherwise.

$$\begin{array}{ll} \Phi_e = \Phi_P & \text{for } U_e > 0 \text{ and } |Pe_e| \geq 2 \\ \Phi_e = \Phi_E & \text{for } U_e < 0 \text{ and } |Pe_e| \geq 2 \\ \Phi_e = f_e \Phi_E + (1 - f_e) \Phi_P & \text{for } |Pe_e| < 2 \end{array}$$

The Peclet number reads

$$Pe_e = \frac{F_e}{D_e}$$

where F_e is the convective mass flux and D_e is the diffusion flux at the computational control volume faces. The factor f_e appearing in the central differencing scheme is a linear interpolation factor that allows the grid to be non-uniform; for uniform grids, $f_e = 0.5$. The hybrid differencing scheme thus uses the first-order upwind scheme if convection is dominant and the central differencing scheme if diffusion is not negligible. The major drawback of the hybrid scheme is that convection is dominant in most flows, and the scheme can thus be regarded as a first-order upwind scheme.

The diffusion is discretized using central differencing for $|Pe_e| < 2$ and is neglected otherwise.

5.2.2 The Van Leer Scheme

The scheme of Van Leer [28] is of second-order accuracy except at local minima or maxima where its accuracy is of the first order. One advantage of this scheme is that it is bounded. For the east face, it can be written

$$U_e > 0 \Rightarrow \begin{cases} \Phi_e = \Phi_P \text{ if } |\Phi_E - 2\Phi_P + \Phi_W| \ge |\Phi_E - \Phi_W| \\ \Phi_e = \Phi_P + \frac{(\Phi_E - \Phi_P)(\Phi_P - \Phi_W)}{\Phi_E - \Phi_W} \text{ otherwise} \end{cases}$$
$$U_e < 0 \Rightarrow \begin{cases} \Phi_e = \Phi_E \text{ if } |\Phi_P - 2\Phi_E + \Phi_{EE}| \ge |\Phi_P - \Phi_{EE}| \\ \Phi_e = \Phi_E + \frac{(\Phi_P - \Phi_E)(\Phi_E - \Phi_{EE})}{\Phi_P - \Phi_{EE}} \text{ otherwise} \end{cases}$$

The diffusion is discretized using central differencing.

This scheme is thus a bounded first-order upwind scheme with a correction term, which makes it second-order accurate.

5.3 Convergence, verification and validation

An iteratively converged solution is assumed to be reached when the largest normalized residual of the momentum equations, the continuity equation and the turbulence equations is reduced to 10^{-3} [15]. The residuals of the momentum equation are normalized by the sum of the mass flow through the turbine and the mass flow through the periodic surfaces multiplied by the largest velocity component in the computational domain. The residual of the continuity equation is normalized by the sum of the mass flow through the turbine and the mass flow through the periodic surfaces. The residuals of the turbulence equations are normalized by the largest residual during the iterations.

The iteratively converged results of a correctly implemented finite volume method should be conservative with respect to the computed equations. The computational results of the continuity and linear momentum equations have been verified by the method described in this work. The result from this verification corresponds with the iterative convergence limit.

The CFD code has been extensively validated against the GAMM Francis runner [22], the Hölleforsen (Turbine 99 - II) Kaplan runner [21], the Hölleforsen distributor [16] and academic test cases [18]. The code is also used and validated in other industrial applications, such as: LES of the flow around a simplified bus, LES of a high-lift air foil and heat transfer in gas turbines.

The code uses double precision real numbers to avoid numerical cancellation.



Figure 4: The three geometries studied in this work. In all cases the flow is swirling radially inwards at the top and axially downwards at the bottom.

6 Cases

The method described in this work is applied to the flow in water turbines. There are numerous types and configurations of water turbines, each optimized for the conditions of the specific power plant. The water turbines studied in this work are low-head Kaplan turbines, which are the most common water turbines in Sweden.

The geometry and flow features in the vicinity of a Kaplan runner comprises an axi-symmetric duct with radial swirling inflow above the runner and axial (ideally non-swirling) flow through a short axi-symmetric diffusor below the runner. The method is applied to two Kaplan runners and a simplified geometry of the axi-symmetric duct of one of the Kaplan runners without the runner blades (see Fig. 4).

The cases are briefly described in the following sections.

6.1 Simplified geometry

Figure 5 shows the meridional contour of the simplified geometry and two computational grids with $14\,378$ and $31\,521$ control volumes. The complete geometry is the axisymmetric volume obtained from revolving the shown geometry about the Z-axis. The grids have different grid density in the through-flow direction and similar grid distributions in the other two directions. There are seven computational control volumes in the periodic direction, covering 10° of the total circumference. Periodic boundary conditions are used in the circumferential direction. The velocity profile at the inlet is a turbulent 1/7 profile with a swirling component [15], and the steady axi-symmetric flow in the inertial coordinate system is computed.

6.2 Kaplan runners

Two different Kaplan runners are investigated in this work. For both cases, the steady flow is computed in a single rotating blade passage employing periodic boundary conditions. Inlet boundary conditions are taken from separate computations of the flow in the upstream guide vane passage.

Detailed information on the first Kaplan runner case (denoted Kaplan 1) can be found in the literature [15, 19, 20], where it is denoted case k15. The simplified geometry in this work is



Figure 5: Meridional view of the coarse (left) and fine (right) grid of the simplified geometry. The grid densities and distributions differ mainly in the through-flow direction.

Simplified	Van Leer		Hybrid	
case	Coarse	Fine	Coarse	Fine
Overall balance	$-6.93 \cdot 10^{-4}$	$-4.79 \cdot 10^{-4}$	$-1.92 \cdot 10^{-3}$	$1.77 \cdot 10^{-2}$
Overall residual	$9.66 \cdot 10^{-2}$	$5.32 \cdot 10^{-2}$	$1.49 \cdot 10^{-1}$	$8.13 \cdot 10^{-2}$
Kaplan	Van Leer		Hybrid	
cases	Kaplan 1	Kaplan 2	Kaplan 1	Kaplan 2
Overall balance	$5.41 \cdot 10^{-3}$	$6.86 \cdot 10^{-3}$	$1.41 \cdot 10^{-1}$	$1.47 \cdot 10^{-1}$
Overall residual	$1.45 \cdot 10^{-1}$	$1.35 \cdot 10^{-1}$	$1.89 \cdot 10^{-1}$	$2.70 \cdot 10^{-1}$

Table 1: Angular momentum error estimations made over the entire computational domains.

the same as the upper part of the duct of this Kaplan runner, where the error is greatest for the hybrid discretization scheme.

The computational results of the flow in the Hölleforsen Kaplan runner (denoted Kaplan 2) were thoroughly investigated and validated against measurements at the Turbine 99 - II workshop. The investigations included in the present work use the computation that was denoted the standard case in the workshop paper [21], which used the Van Leer discretization scheme. In addition, a computation with the hybrid discretization scheme has been made to show the difference in the angular momentum balance between the two schemes.

Both Kaplan cases include the clearance between the runner blade tips and the shroud, which makes structured multiblock grid generation very complicated. The computational grid topology, size and distribution are better (with respect to skewness, stretching etc.) for the Kaplan 2 case, which should thus give the better results.

7 Results of the angular momentum balance method

Table 1 shows global estimations of the angular momentum error. The overall balance is defined as the sum of the angular momentum balance in all computational control volumes divided by the flux of angular momentum through the inlet. This method cancels errors at internal control volume faces and thus gives the angular momentum balance over the entire domain. The overall residual is defined as the sum of the absolute values of the angular momentum balance in all computational control volumes divided by the absolute value of the flux of angular momentum through the inlet. The overall balance in table 1 indicates that the Van Leer scheme is better



Figure 6: Local and cumulative error distributions from inlet to outlet of the simplified geometry. Dashed line: Van-Leer, local error; dotted line: hybrid, local error; solid line: Van-Leer, cumulative error; dashed-dotted line: hybrid, cumulative error.

than the hybrid scheme. However, it also indicates that the hybrid scheme is better on the coarse grid of the simplified geometry than on the fine grid and that the Kaplan 1 case (in which a grid of poor quality is used) produces the better results in the Kaplan cases. The overall residual of the simplified geometry indicates that the Van Leer scheme on the fine grid gives the best result, followed by the hybrid grid on the fine grid. The overall residual of the Kaplan geometries mainly indicates that the Van Leer scheme is only slightly better than the hybrid scheme. As this makes no sense, a more detailed analysis is needed.

Figure 6 shows the local (between two neighbouring axi-symmetric surfaces) and cumulative (from the inlet) angular momentum balance error distributions from inlet to outlet (see section 4) in the simplified geometry. The errors are normalized by the inlet angular momentum flux. Note that the final values (the balance from inlet to outlet) correspond to the balances shown in table 1. The overall balance is obviously not necessarily representative of the accuracy of the computations since the errors in different parts of the domain might cancel each other. The coarse grid hybrid analysis in the figure highlights this problem, where the total error of the domain is small but the error in different subdomains is large. Both the hybrid and Van Leer discretization schemes yield small local errors. The cumulative errors show however that the hybrid scheme obviously accumulates the local errors while the Van Leer scheme cancels the local errors. Both schemes have problems at the inlet and at sharp geometric corners (located at computational control volume planes 8, 12, 16 and 18 for the coarse grid and 17, 26, 35 and 40 for the fine grid).



Figure 7: Local error distributions from hub to shroud of the simplified geometry. Dashed line: Van-Leer, coarse grid; dashed-dotted line: hybrid, coarse grid; solid line: Van-Leer, fine grid; dotted line: hybrid, fine grid.



Figure 8: Cumulative error distributions from inlet to outlet of the Kaplan cases. Dashed line: Van-Leer, Kaplan 1; dashed-dotted line: hybrid, Kaplan 1; solid line: Van-Leer, Kaplan 2; dotted line: hybrid, Kaplan 2.

Another way to investigate the angular momentum error distribution is to compute the local error in each computational control volume plane that is defined as a linear interpolation of the hub and shroud contours. This yields the hub to shroud error distribution shown in Fig. 7, where the error is normalized by the total angular momentum flux through the entire inlet. The Van Leer scheme obviously gives the better results while there is an obvious risk of re-distribution of angular momentum between the hub and shroud with the hybrid scheme. The results from the hybrid scheme actually seem to get worse as the grid is refined.

Figure 8 shows the cumulative error distributions in the Kaplan runners from inlet to outlet (see section 4). The errors are normalized by the inlet angular momentum flux. The angular momentum balance method clearly shows the difference between the Van Leer scheme and the hybrid scheme. The hybrid scheme obviously accumulates the local errors while the Van Leer scheme cancels the local errors. Note that the final values (the balance from inlet to outlet) correspond to the balances shown in table 1, with a total error of 0.5% and 0.7% for the Van Leer scheme and 14% and 15% for the hybrid scheme. The global imbalances of the hybrid scheme are thus about 30 times larger than for the Van Leer scheme. Figure 8 also shows that both the Kaplan 1 computations are less accurate than the corresponding Kaplan 2 computations since the cumulative error is much larger in all of the domain except at the outlet. This is the reason for the confusing results in table 1, where the Kaplan 1 case seemed to be the better. The analysis also shows that the hybrid scheme performs worst in the first part of the Kaplan 1 computational domain, while it performs best in the first part of the Kaplan 2 computational domain.



Figure 9: Iso-surfaces of the absolute value of the computational control volume angular momentum balance indicating where the largest errors are located. The Kaplan 2 case with the Van Leer scheme.

It may seem that a 0.7% angular momentum balance error is rather good, but there are at least two reasons why the error should be reduced: 1) the linear momentum is better predicted 2) water turbine efficiencies are very high (about 95%) and the improvements that can be made are in the range of 0.1% in efficiency. Since the efficiency is closely related to the angular momentum balance it is interesting to further investigate the angular momentum balance for the Van Leer scheme. Figure 9 shows iso-surfaces of the largest angular momentum balance residuals for the Kaplan 2 Van Leer computations. This gives an indication of where to start the quest for improved results with the Van Leer scheme and the present grid.

8 Conclusion

This work presents a method of investigating the discretization error in swirling flow computations. The method is based on the fact that the discretized angular momentum equations are not necessarily conserved when the discretized linear momentum equations are solved. The method is applied to the first-order hybrid and the second-order Van Leer discretization schemes in swirling flow in water turbines. It is shown that the hybrid scheme cannot be used and that the Van Leer scheme needs improvement to give quantitatively correct results for these kinds of applications. The global imbalances of the hybrid scheme are shown to be about 30 times larger than for the Van Leer scheme.

This work has studied only a small part of the angular momentum balance that is important to a single vortex with known features. There are, however, several vortices of unknown features in turbomachinery flow (and most other flows as well) that must also be resolved. It is obvious that a discretization scheme that simultaneously preserves both the linear momentum balance and the general angular momentum balance is needed.

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